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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,510	01/03/2002	Wang Nang Wang	0008/0A011

CONFIRMATION NO. 5465

FORMALITIES LETTER



OC000000007458059

Ya-Chiao Chang
9 South Wickom Drive
Westfield, NJ 07090

Date Mailed: 02/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice **MUST** be returned with the reply.*

Fran Chan

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/20/2002 BAERAXA1 00000101 10038510

01 FC:201
02 FC:205

370.00 OP
65.00 OP



03-18-02

MP# 3

Date _____ Label No. ET93745769445

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

3/14/02 Chen Ying Wang
Name (Print) Signature

Docket No. 0008/OA011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: Wang et al.

Patent Application Serial No. 10/038,510

Confirmation No. 5465

Filing Date: January 3, 2002

Art Unit 2811

Title: VERTICAL CAVITY SURFACE EMITTING SEMICONDUCTOR LASER WITH TRIANGLE PRISM OPTICAL CAVITY RESONATOR

COMPLETION OF APPLICATION AND
RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated February 11, 2002, a response to which is due on or before April 11, 2002, please accept the filing of the following documents in completing the patent application process:

1. ☒ Executed Declaration/Power of Attorney
☐ Unexecuted Declaration/Power of Attorney
2. ☒ Notice to File Missing Parts of Nonprovisional Application

Serial No. 10/038,510

Docket No. 0008/OA011

3. ☒ Assignment for recording to:
ARIMA OPTOELECTRONIC CORPORATION, a corporation organized
under the laws of TAIWAN, R.O.C., located at 7th Floor, No. 349,
Section 2, Jen Ho Road, Dashi, Taoyuan Hsien, TAIWAN, R.O.C.
- ☐ Assignment was recorded at Reel No. , Frame No. , on .
☒ Pursuant to 37 C.F.R. 1.215(b), please print the following assign-
ment information on the face of the published application:
ARIMA OPTOELECTRONICS CORPORATION, Taoyuan Hsien,
TAIWAN, R.O.C.
4. ☒ The applicant claims small entity status.
5. ☐ Priority is claimed under 35 U.S.C. 119(b) of:

Country:

Number:


Date:

A certified copy of the prior document ☐ is enclosed ☐ will follow
☐ was filed in a previous application.

6. ☒ Payment in amount of **\$475.00**
in the form of
☒ Check Ref. No. 1715
☐ deposit account no.
☐ credit card (see attached form)

Date: 2-22-2002

Respectfully submitted,



Ya-Chiao Chang
Reg. No. 43,407
Attorney for Applicant(s)



Docket No. 0008/0A011

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee.....				\$740.00
Total Claims	18 - 20	- 0 = 20	x \$18.00	\$0.00
Independent Claims	1 - 3	- 0 = 0	x \$80.00	\$0.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL.....				\$870.00
[X] Small Entity REDUCTION (Half of Subtotal)				\$435.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL.....				\$475.00